Complexity and Advanced Algorithms Monsoon 2011

Parallel Algorithms Lecture 4

Advanced Optimal Solutions



- General technique suggests that we solve a smaller problem and extend the solution to the larger problem.
- To apply our technique we should use the pointer jumping based solution on a sub-list of size n/log n.
- How to identify such a sublist?

Advanced Solutions



- Cannot pick equidistant as earlier.
- However, can pick independent nodes.
 - Removing independent nodes is easy!
 - Formally, an independent set of nodes.
 - Can extend the solution easily in such a case.

Advanced Solutions



- Formally, in a graph G = (V, E), a subset of nodes U ⊆V is called an independent set if for ever pair of vertices u,v in U, (u,v) ∉ E.
- Linked lists (viewed as a graph) have the property that they have large independent sets.

Advanced Solutions $1 \rightarrow 8 \rightarrow 5 \rightarrow 11 \rightarrow 2 \rightarrow 6 \rightarrow 10 \rightarrow 4 \rightarrow 3 \rightarrow 7 \rightarrow 12 \rightarrow 9$ $1 \longrightarrow 5 \longrightarrow 11 \longrightarrow 6 \longrightarrow 4 \longrightarrow 3 \longrightarrow 12 \longrightarrow 9$ List with elements (1) (2) 1) (2) (2) (1) (2) (1) removed $\begin{array}{c} \bullet & \bullet \\ \bullet & \bullet \\$ Ranked short list 8 reintroduce element 8 (2)

 Transfer current rank along with successor during removal.

Advanced Optimal Solutions



- Algorithm outline:
 - Remove an independent set of nodes in the linked list.
 - Rank the remaining list, and then
 - Rank the removed elements.
- Several algorithms use this technique with variations: Anderson-Miller, Hellman-JaJa, Reid-Miller,...

Advanced Solutions

- Issue 1: How to find a large independent set of nodes in parallel?
- Issue 2: How many iterations needed to reduce the size of the list?
- Issue 3: How to rank the removed elements?

- Issue 1:
 - Use techniques from parallel symmetry breaking.
 - Can obtain an independent set of size \geq n/3.
- Issue 2:
 - The naïve algorithm is slightly non-optimal (by a factor of O(log n)
 - Hence, reduce the size of the list from n to n/log n.
- Issue 3:
 - Bookkeep enough details during removal
 - Reintroduce in the reverse order.

Symmetry Breaking

- A way to induce differences between like (symmetric) participants.
- Useful in applications such as graph coloring
 - Generally, difficult using deterministic techniques.
 - Need randomization
- Special cases where fast, deterministic symmetry breaking can be achieved.
 - Linked lists and directed cycles are an example.



- Consider a directed cycle of n nodes numbered 1 to n.
- Treat the number of the node as its initial color.
- Can reduce colors to log n in one step.
 _Compare color with parent, Newcolor(u) = 2k + color(u)_k

_k is the index of the first bit position that u and v differ from LSB

- Claim: New colors are valid.
- Proof: Suppose that for u and v such that $u \rightarrow v$, NewColor(u) = NewColor(v).
- Let NewColor(u) = $2k + color(u)_{k}$, and NewColor(v) = $2r + color(v)_{r}$.
- Let k = r. However, color(u)_k = color(v)_k.
 Why?
- Let k = r. Then, $color(u)_k color(v)_r =$
 - 2(r k).
 - The LHS has an absolute value of 1 and the RHS has an absolute value of 2.



- In one iteration, can reduce the number of colors from n to 2log n.
 - > Initial colors are log n bits
 - > New colors are only $1 + \lceil \log \log n \rceil$ bits.
- Can we repeat again?
 - > Yes.
 - > Reduces number of bits from t to $1+\log t$.
 - But, at some point t < 1 + [log t]. No advantage any further.
 - Happens at t = 3.
- So, repeat till only 8 colors are used.

- At that point, can still reduce the number of colors as follows:
- For i = 8 downto 3 in sequence
 - If node u is colored i, then u chooses a color among {1,2,3} that is not same as the colors of its neighbors.
- Possible to do so. Why?

- At that point, can still reduce the number of colors as follows:
- For i = 8 downto 3 in sequence
 - If node u is colored i, then u chooses a color among {1,2,3} that is not same as the colors of its neighbors.
- Possible to do so. Why?
 - Each node has only two neighbors.
 - So, only two colors amongst {1,2,3} can be used up already.

- Total time analyzed as follows:
 - Each iteration of symmetry breaking reduces number of bits from to 1+[log t].
 - > The recurrence relation is $T(n) = T(\log n) + 1$
 - > Solution: $T(n) = O(\log^* n)$.
 - In the next phase, only 5 iterations.
 - So, overall time = O(log* n)
- Work however is O(nlog* n).
- Log* n = i such that

log(log(....(log n))) = 1;

• The algorithm extends to lists and trees also.

Coloring to Independent Sets

- For bounded degree graphs colored with O(1) colors, a coloring is equivalent to finding a large independent set.
- Iterate on each color and count the number of nodes with a given color.
- Pick the subset of like colored nodes of the largest size.
 - Clearly, an independent set.
 - Has a size of at least a fraction of n.

• Our algorithm outline:

```
Algorithm Rank(L)
L_{1} = L;
For r iterations do
      Color the list L with 3 colors
      Pick an independent set U<sub>i</sub> of nodes of size \geq n/3
      L_i = Remove nodes in U_i from L_{i-1};
End-for
Rank the list L using pointer jumping.
For i = r \operatorname{down} to 1 \operatorname{do}
      Reinsert the nodes in U, into L,
End-for
End.
```

• Question: How many iterations required?

- Each iteration of coloring the list can give an MIS of size at least n/3.
- We require that only n/log n nodes remain at the end.
- Hence, O(log log n) iterations are required.
 > (n/3)^r = n/log n at r = O(log log n).

- Time taken:
 - To shrink the list: Each iteration is O(log* n). At O(log log n) iterations, this takes O(log *n . log log n) time.
 - To rank the remaining list using pointer jumping:
 O(log n) time
 - To reintroduce the removed elements: Over r = O(log log n) iterations, O(log log n) time.
 - > Total = $O(\log n)$.
- Work: O(nlog log n)
 - Dominated by the work done in reintroducing the removed elements

- A different way:
 - Reintroducing can be slowed down to make it optimal.
 - Use only n/log n processors, with each iteration taking O(log n) time.
 - > Total time = $O(\log n \log \log n)$.
 - > Total work = $O(n\log^* n)$.
 - Dominated by the time taken to find an independent set.

- Yet another way:
 - Use an optimal approach to finding an independent set.
 - Takes O(log n) time and O(n) work.
- Overall time and work:
 - > Time = O(log n log log n)
 - Work = O(n)
 - > Optimal!

- In general, if one can spend O(t) time and O(n) work in each iteration of removing nodes, then
 - Time = O(t log log n + log n)
 - > Work = O(n).
- Have to lower t to get O(log n) optimal list ranking.
- There are such algorithms.
 - > Anderson-Miller is one such example.
- Further reading
 - Anderson Miller described in JaJa's book
 - Hellman-JaJa is another popular approach
 - Used by most practical papers in recent times.

After a long break, welcome again.

Have to make up two lectures and one exam.

Lectures: What if we continue our classes till 4 PM for the next 6 lectures? With a small break after 1 hour.

Exam: Will hold it some day after possibly 2 weeks from now. May be one hour exam, with only 15% weightage.

Tree Processing

- Now that we know how to process linked lists, let us consider tree algorithms.
- Problems we will consider:
 - Traversal
 - Expression evaluation
 - Least common ancestor, range minima

Traversal via Euler Tour





- Given a tree T = (V, E), we use an Euler tour as a primitive for tree traversal algorithms.
- Euler tour: Given a graph, an Euler tour is a cycle that includes every edge exactly once.
 - A directed graph G has an Euler tour if and only if for every vertex, its in-degree equals its out-degree.

Euler Tour of a Tree



- For a tree T = (V,E) to define an Euler tour, we make it a directed tree:
 - > Define $T_e = (V_e, E_e)$ with $V_e = V$.
 - > For each edge uv in E, add two directed edges (u,v) and (v,u) to E_{e} .
 - > T_{e} has an Euler tour.

Defining an Euler Tour on a Tree

- Just have to define a successor. Here, successor for an edge.
- For a node u in T_{e} order its neighbors $v_1, v_2, ...,$
 - V_d.
 Can be done independently at each node.
 - > For $e = (v_i, u)$, set s(e) = e' where $e' = (u, v_{i+1})$.
 - Compute indices modulo the degree of u.

Euler Tour on a Tree

- Claim: The above definition of s: $E \rightarrow E$ is a tour.
- Proof: By induction. Let n = 1. Obviously true.
- For n = 2, at most one edge present. The tour is well defined according to s().
- Let the tour be well defined for n = k.
- Step: n = k+1.
 - Every tree has at least one leaf, say v.
 - ≻ T' = T \ {v} has an Euler tour defined by s':E(T') →
 E(T') as |V(T')| = k.
 - We now extend this definition of s' to define a function s: E(T) → E(T).

Euler Tour on a Tree



- Let u be the neighbor of v in T.
 Let N(u) = {v₀, ..., v_{i-1}, v_i=v, v_{i+1}, ... v_d}.
- Set s(u, v) := (v,u). Set s(v_{i-1},u) := (u,v).
- At all other edges $e \in T$, s(e) := s'(e).







 \rightarrow (f,d) \rightarrow (d,c) \rightarrow (c,b) \rightarrow (b,j) \rightarrow (j,b) \rightarrow (b,h) \rightarrow (h,b) \rightarrow (b,c)

Applications of Euler Tour to Traversal

- We now show why the Euler tour is an important construct for trees.
- Operations on a tree such as rooting, perorder and postorder traversal can be converted to routines on an Euler tour.



- Designate a node in a tree as the root.
- All edges are directed towards the root.





Euler Tour: (c,i) \rightarrow (i,c) \rightarrow (c,d) \rightarrow (d,a) \rightarrow (a,d) \rightarrow (d,f) \rightarrow (f,g) \rightarrow (g,f) \rightarrow (f,d) \rightarrow (d,c) \rightarrow (c,b) \rightarrow (b,j) \rightarrow (j,b) \rightarrow (b,h) \rightarrow (h,b) \rightarrow (b,c) \rightarrow NIL

- The edge (r,v_i) appears before (v_i,r) .
- So, if u precedes v, then u = p(v). Orient the edge uv from v to u.



Euler Tour: (c,i) \rightarrow (i,c) \rightarrow (c,d) \rightarrow (d,a) \rightarrow (a,d) \rightarrow (d,f) \rightarrow (f,g) \rightarrow (g,f) \rightarrow (f,d) \rightarrow (d,c) \rightarrow (c,b) \rightarrow (b,j) \rightarrow (j,b) \rightarrow (b,h) \rightarrow (h,b) \rightarrow (b,c) \rightarrow NIL

- So, if u precedes v, then u = p(v). Orient the edge uv from v to u.
- To know the above, use list ranking on the Euler path.

Preorder Traversal



- Euler tour can be used to get a preoder number for every node.
- Associate meaning to the Euler tour.

Preorder Traversal

- In preorder traversal, a node is listed before any of the nodes in its subtree.
- In an Euler tour, nodes in a subtree are visited by entering those subtrees, and finally exiting to the parent.
- If we can therefore track the first occurrence of a node in the Euler path, then we can get the preorder traversal of the tree.

Postorder Traversal

- Similar rules can be designed for also postorder and inorder traversals.
- Inorder for binary trees only makes sense.
- Next we see how to process expression trees.

Expression Trees

- Expression trees are trees with operands at the leaf nodes, and operators at the internal nodes.
- Our interest is to evaluate the result of an expression represented by its expression tree.
- We would limit ourselves to binary operators.
 - Can also convert non-binary cases to the case of binary operators.
- However, the expression tree need not be balanced, or height in Θ(log n).



Expression Tree Evaluation

- Cannot directly apply the standard technique of
 - All the penultimate level nodes, then the next level etc.
 - Tree may not be balanced, and could have very few nodes at the penultimate level.
 - > All leaves first cannot be processed at once
 - At an internal node, both operands may not be evaluated yet.

Two Steps

- A RAKE technique that contracts a tree
 - rake: 1.an agricultural implement with teeth or tines for gathering cut grass, hay, or the like or for smoothing the surface of the ground.

2. any of various implements having a similar form, as a croupier's implement for gathering in money on a gaming table.

• Applying the rake technique to evaluate subexpressions.

The Rake Technique



- T = (V, E) be a rooted tree with r as the root and p() be the parent function
- One step of the rake operation at a leaf u with $p(u) \neq r$ involves:
 - > Remove nodes u and p(u) from the tree.
 - > Make the sibling of v as the child of p(p(u)).

The Rake Technique

- Why is this good?
- Can be applied simultaneously at several leaf nodes in parallel.
- Which ones?
 - All leaves which do not share the same parent, essentially nonsiblings.





- So, we apply the Rake technique on all nonadjacent sibling nodes.
- The algorithm is as shown.

Time Analysis

- Observation: Each application of the rake at all the leaves as given in the algorithm reduces the number of leaves by a half.
- Each application of Rake at a leaf node is an O(1) operation.
- So the total time is O(log n).
- The number of operations is O(n).
 - Similar observations hold.

Applying Rake to Expression Evaluation



- Applying Rake means that we can process more than one leaf node at the same time.
- For expression evaluation, this may mean that an internal node with only one operand evaluated, also needs to be deleted.
 - Need to partially evaluate internal nodes.

- Transfer the impact of applying the operator at p(u) to the sibling of u.
- Associate with each node u labels a_u and b_u so that $R_u = a_u X_u + b_u$.
 - X_u is the result of the subexpression, possibly unknown, at node u.
- Adjust the labels a and b during any rake operation appropriately.
- Initially, at each leaf node u, X_u equals the operand, $a_u = 1$, and $b_u = 0$.

Adjusting Labels



- Prior to rake at u, contribution of p(u) to g(u) is $a_w X_w + b_w$.
- $X_{w} = (a_{u}X_{u}+b_{u}) + (a_{v}X_{v}+b_{v}) = a_{v}X_{v} + (a_{u}X_{u}+b_{u}+b_{v})$
- Therefore, adjust a_{y} and b_{y} as $a_{w}a_{y}$ and $a_{w}(a_{w}X_{w}+b_{w}+b_{y})$.

Adjusting Labels



• Prior to rake at u, contribution of p(u) to g(u) is $a_w X_w + b_w$.

>
$$X_{w} = (a_{u}X_{u}+b_{u}) \times (a_{v}X_{v}+b_{v})$$

• Therefore, adjust a and b as:

>
$$a_v = a_w a_v (a_u X_u + b_u), b_v = b_w + a_w b_v (a_u X_u + b_u).$$

Adjusting Labels

- For other operators, proceed in a similar fashion.
- HW Problem.

Expression Evaluation

- Parallel algorithm has the following main steps:
 - Rake the expression tree
 - Set up and adjust labels while raking
 - Stop when the tree has only three nodes, one operator and two operands as children.
 - Evaluate this three node tree.
- Theorem: Expression evaluation of an n-node expression tree can be done in parallel on an EREW PRAM using O(log n) time and O(n) operations.



Range Minima



- Several geometric problems take the following flavor.
- Given a set of points S in a one dimensional space, preprocess S into a data structure D(S) so that:
 - Given a range of indices [i,j], report the element of S of least value between indices i and j.

Applications of Range Minima

• Range minima can be used to solve the problem of finding the least common ancestor of two nodes in a tree.

V

Called as an LCA query, and is useful in several other settings.



- Let n be the number of elements, and $n = 2^{k}$.
- Consider a full binary tree on the n elements.

- Given two indices i and j, let v be the node in the tree that corresponds to the LCA of i and j.
 - No circular reasoning here. On a full binary tree, LCA is easy to find.



• Does not suffice if at every internal node, we just store the minima of the elements in that subtree.



- Let u and w be the left and right child of v. > Also, let $A_v = (a_i, a_{i+1}, ..., a_i, a_{i+1}, ..., a_j, a_{j+1}, ..., a_r)$.
- The required minima is the minimum of min{ a_i , a_{i+1} ,..., a_p } and min{ a_{p+1} , a_{p+2} , ..., a_i }.



- For each node u, suppose we store the suffix and prefix minima of nodes in A.
- The required answer can be computed quickly.

• For each node u, let P_u and S_u be the prefix and suffix minima arrays of elements in the subtree at node u.



- Given a node v with children u and w, can
 - $\boldsymbol{S}_{\!_{\boldsymbol{W}}}$ respectively quickly.
 - How?

- Given a node v with children u and w, can actually compute P_v and S_v from P_u , P_w , and S_u ,
 - S_{w} respectively quickly.
 - > Let P_v be the concatenation of P_u and P_w .
 - The P_w part of P_v may change depending on the last element in P_u.
 - > Similar rules apply for $S_v = S_u o S_w$

- Theorem: Given n elements in an array A, the array can be preprocessed in O(log n) parallel time and O(nlog n) operations so that range minima queries can be answered in O(1) time.
 - Requires CREW model.
 - Where do we require concurrent read?
 - Can be made to use O(n) operations. Use standard technique 1.
 - On the CRCW model, can actually reduce the parallel time to O(log log n) in O(n) operations.

From Range Minima to LCA

- For a tree T rooted at r, let P be its Euler path with the edge (u,v) replaced by v.
- Compute the level of every node in the tree, with root at level 0.
 - Call this as the array Level[].
- Compute the leftmost and the rightmost occurrence of each node in P.
 - > Call them as L(v) and R(v) for a node v.

From Range Minima to LCA

- Theorem: Let u and v be two vertices in T and L and R are given. Then,
 - L(u) < L(v) < R(u) if and only if u is an ancestor of v.
 - u and v do not share an ancestor-descendant relationship iff R(u) < L(v) or R(v) < L(u).</p>
 - If R(u) < L(v) then the vertex with the minimum Level in the range [R(u), L(v)] is the LCA of u and
 - V.
 - Therefore preprocess the Level array for range minima.



 $\begin{array}{l} (a,i) \rightarrow (i,f) \rightarrow (f,b) \rightarrow (b,f) \rightarrow (f,d) \rightarrow (d,f) \rightarrow (f,i) \rightarrow (i,h) \rightarrow (i,a) \rightarrow (a,c) \rightarrow (c,g) \rightarrow (g,c) \rightarrow (c,e) \rightarrow (e,j) \rightarrow (j,e) \rightarrow (e,c) \rightarrow (c,a) \end{array}$

P: $i \rightarrow f \rightarrow b \rightarrow f \rightarrow d \rightarrow f \rightarrow i \rightarrow h \rightarrow i \rightarrow a \rightarrow c \rightarrow g \rightarrow c \rightarrow e \rightarrow j \rightarrow e \rightarrow c \rightarrow a$

P:	a -	> i →	•f →	b→	f→	d→	f→	i→	h→	i→	a→	C→	g→	×C→	e→	j→	e→	C→	а
Level	0	1	2	3	2	3	2	1	2	1	0	1	2	1	2	3	2	1	0



a	Node	L	R
	a	0	18
	b	3	3
	С	11	17
	d	5	5
	е	14	16
	f	2	6
	g	12	12
LCA of nodes g and j : $R(g) = 12$,	h	8	8
L(j) = 15, RM _{12,15} (Level) = 1,	i	1	9
LCA(g,j) = c	j	15	15

P:
$$a \rightarrow i \rightarrow f \rightarrow b \rightarrow f \rightarrow d \rightarrow f \rightarrow i \rightarrow h \rightarrow i \rightarrow a \rightarrow c \rightarrow g \rightarrow c \rightarrow e \rightarrow j \rightarrow e \rightarrow c \rightarrow a$$

Level 0 1 2 3 2 3 2 1 2 1 0 1 2 1 2 3 2 1 0

Graph Algorithms