# Programming Languages and Compiler Design 

## Programming Language Semantics Compiler Design Techniques

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## Code Optimization

- give some indications on general optimization techniques:
- data-flow analysis
- register allocation
- software pipelining
- etc.
- describe the main data structures used:
- control flow graph
- intermediate code (e.g., 3-address code)
- Static Single Assignment form (SSA)
- etc.
- see some concrete examples


## But not a complete panorama of the whole optimization process

(e.g.: a real compiler, for a modern processor)

Objective of the optimization phase

Improve the efficiency of the target code, while preserving the source semantics.
efficiency $\rightarrow$ several (antagonist) criteria

- execution time
- size
- memory used
- energy consumption
- etc.
$\Rightarrow$ no optimal solution, no general algorithm
$\Rightarrow$ a bunch of optimization techniques:
- inter-dependant each others
- sometimes heuristic based


## Two kinds of optimizations

Independant from the target machine
"source level" or "assembly level" pgm transformations:

- dead code elimination
- constant propagation, constant folding
- code motion
- common subexpressions elimination
- etc.

Dependant from the target machine
optimize the use of the hardware resources:

- machine instruction
- memory hierarchy (registers, cache, pipeline, etc.)
- etc.

Overview

1. Introduction
2. Some optimizations independant from the target machine
3. Some optimizations dependant from the target machine

Some optimizations independant from the target machine

## Main principle

Input: initial intermediate code
Output: optimized intermediate code

Several steps:

1. generation of a control flow graph (CFG)
2. analysis of the CFG
3. transformation of the CFG
4. generation of the output code

## Intraprocedural 3-address code (TAC)

"high-level" assembly code:

- binary logic and arithmetic operators
- use of temporary memory location ti
- assignments to variables, temporary locations
- a label is assigned to each instruction
- conditional jumps goto

Examples:

- l: x := y op x
- l: x := op y
- l: x := y
- l: goto l'
- l: if $x$ oprel $y$ goto $l^{\prime}$


## Basic block (BB)

A maximal instruction sequence $S=i_{1} \cdots i_{n}$ such that:

- $S$ execution is never "broken" by a jump $\Rightarrow$ no goto instruction in $i_{1} \cdots i_{n-1}$
- $S$ execution cannot start somewhere in the middle $\Rightarrow$ no label in $i_{2} \cdots i_{n}$
$\Rightarrow$ execution of a basic bloc is atomic
Partition of a 3-address code BBs:

1. computation of Basic Block heads:

1st inst., inst. target of a jump, inst. following a jump
2. computation of Basic Block tails:
last inst, inst. before a Basic Block head
$\Rightarrow$ a single traversal of the TAC

## Control Flow Graph (CFG)

A representation of how the execution may progress inside the TAC
$\rightarrow$ a graph $(V, E)$ such that:
$V=\left\{B_{i} \mid B_{i}\right.$ is a basic block $\}$
$E=\left\{\left(B_{i}, B_{j}\right) \mid\right.$
"last inst. of $B_{i}$ is a jump to 1 st inst of $B_{j}$ " $\vee$ " 1 st inst of $B_{j}$ follows last inst of $B_{i}$ in the TAC" $\}$

## Example

Give the Basic Blocks and CFG associated to the following TAC sequence:

```
0. x := 1
1. y := 2
2. if c goto 6
3. x := x+1
4. z := 4
5. goto 8
```


## Optimizations performed on the CFG

Two levels:

Local optimizations:

- computed inside each BB
- BBs are transformed independent each others

Global optimizations:

- computed on the CFG
- transformation of the CFG:
- code motion between BBs
- transformation of BBs
- modification of the CFG edges
- algebraic simplification, strength reduction
$\rightarrow$ replace costly computations by less expensive ones
- copy propagation
$\rightarrow$ suppress useless variables
(i.e., equal to another one, or equal to a constant)
- constant folding
$\rightarrow$ perform operations between constants
- common subexpressions
$\rightarrow$ suppress duplicate computations
(already computed before)
- dead code elimination $\rightarrow$ suppress useless instructions (which do not influence pgm execution)


## Example of local optimizations

Initial code:
$\mathrm{a}:=\mathrm{x} \star \star 2$
$\mathrm{~b}:=3$
$\mathrm{c}:=\mathrm{x}$
$\mathrm{d}:=\mathrm{c} * \mathrm{c}$
$\mathrm{e}:=\mathrm{b} \star 2$
$\mathrm{f}:=\mathrm{a}+\mathrm{d}$
$\mathrm{g}:=\mathrm{e} \star \mathrm{f}$

## Example of local optimizations

Algebraic simplification:

| $\mathrm{a}:=\mathrm{x} * * 2$ | $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ |
| :--- | :--- |
| $\mathrm{b}:=3$ | $\mathrm{~b}:=3$ |
| $\mathrm{c}:=\mathrm{x}$ | $\mathrm{c}:=\mathrm{x}$ |
| $\mathrm{d}:=\mathrm{c} * \mathrm{c}$ | $\mathrm{d}:=\mathrm{c} * \mathrm{c}$ |
| $\mathrm{e}:=\mathrm{b} * 2$ | $\mathrm{e}:=\mathrm{b} \ll 1$ |
| $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ | $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ |
| $\mathrm{g}:=\mathrm{e} * \mathrm{f}$ | $\mathrm{g}:=\mathrm{e} * \mathrm{f}$ |

## Example of local optimizations

Copies propagation:

| $\mathrm{a}:=\mathrm{x} \star \mathrm{x}$ | $\mathrm{a}:=\mathrm{x} \star \mathrm{x}$ |
| :--- | :--- |
| $\mathrm{b}:=3$ | $\mathrm{~b}:=3$ |
| $\mathrm{c}:=\mathrm{x}$ | $\mathrm{c}:=\mathrm{x}$ |
| $\mathrm{d}:=\mathrm{c} * \mathrm{c}$ | $\mathrm{d}:=\mathrm{x} \star \mathrm{x}$ |
| $\mathrm{e}:=\mathrm{b} \ll 1$ | $\mathrm{e}:=3 \ll 1$ |
| $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ | $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ |
| $\mathrm{g}:=\mathrm{e} \star \mathrm{f}$ | $\mathrm{g}:=\mathrm{e} \star \mathrm{f}$ |

## Example of local optimizations

## Constant folding:

| $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ | $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ |
| :--- | :--- |
| $\mathrm{b}:=3$ | $\mathrm{~b}:=3$ |
| $\mathrm{c}:=\mathrm{x}$ | $\mathrm{c}:=\mathrm{x}$ |
| $\mathrm{d}:=\mathrm{x} * \mathrm{x}$ | $\mathrm{d}:=\mathrm{x} * \mathrm{x}$ |
| $\mathrm{e}:=3 \ll 1$ | $\mathrm{e}:=6$ |
| $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ | $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ |
| $\mathrm{g}:=\mathrm{e} * \mathrm{f}$ | $\mathrm{g}:=\mathrm{e} * \mathrm{f}$ |

## Example of local optimizations

Elimination of common subexpressions:

| $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ | $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ |
| :--- | :--- |
| $\mathrm{b}:=3$ | $\mathrm{~b}:=3$ |
| $\mathrm{c}:=\mathrm{x}$ | $\mathrm{c}:=\mathrm{x}$ |
| $\mathrm{d}:=\mathrm{x} * \mathrm{x}$ | $\mathrm{d}:=\mathrm{a}$ |
| $\mathrm{e}:=6$ | $\mathrm{e}:=6$ |
| $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ | $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ |
| $\mathrm{g}:=\mathrm{e} * \mathrm{f}$ | $\mathrm{g}:=\mathrm{e} * \mathrm{f}$ |

## Example of local optimizations

Copies propagation:

| $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ | $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ |
| :--- | :--- |
| $\mathrm{b}:=3$ | $\mathrm{~b}:=3$ |
| $\mathrm{c}:=\mathrm{x}$ | $\mathrm{c}:=\mathrm{x}$ |
| $\mathrm{d}:=\mathrm{a}$ | $\mathrm{d}:=\mathrm{a}$ |
| $\mathrm{e}:=6$ | $\mathrm{e}:=6$ |
| $\mathrm{f}:=\mathrm{a}+\mathrm{d}$ | $\mathrm{f}:=\mathrm{a}+\mathrm{a}$ |
| $\mathrm{g}:=\mathrm{e} * \mathrm{f}$ | $\mathrm{g}:=6 \star \mathrm{f}$ |

## Example of local optimizations

Dead code elimination (+ strength reduction):

| $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ | $\mathrm{a}:=\mathrm{x} * \mathrm{x}$ | $\mathrm{a}:=\mathrm{x} \star \mathrm{x}$ |
| :--- | :--- | :--- |
| $\mathrm{b}:=3$ |  |  |
| $\mathrm{c}:=\mathrm{x}$ |  |  |
| $\mathrm{d}:=\mathrm{a}$ |  |  |
| $\mathrm{e}:=6$ | $\mathrm{f}:=\mathrm{a}+\mathrm{a}$ | $\mathrm{f}:=\mathrm{a} \ll 1$ |
| $\mathrm{f}:=\mathrm{a}+\mathrm{a}$ | $\mathrm{g}:=6 \star \mathrm{f}$ | $\mathrm{g}:=6 \star \mathrm{f}$ |

## Local optimization: a more concrete example

Inital source program: addition of matrices

```
for (i=0 ; i < 10 ; i ++)
    for (j=0 ; j < 10 ; j++)
    S[i,j] := A[i,j] + B[i,j]
```

Basic blocks:

| B1: | $i:=0$ |
| :--- | :--- |
| B2: | if $i>10$ goto B7 |
| B3: | $j:=0$ |
| B4: | if $j>10$ goto B6 |
| B5 |  |
| B6: | $i:=i+1$ |
|  | goto B2 |
| B7: end |  |

## Control Flow Graph



Inital Block B5

B5: t1 := $4^{*}$ i

$$
\begin{aligned}
\mathrm{t} 2 & :=40 * \mathrm{j} \\
\mathrm{t} 3 & :=\mathrm{t} 1+\mathrm{t} 2 \\
\mathrm{t} 4 & :=\mathrm{A}[\mathrm{t} 3] \\
\mathrm{t} 5 & :=4^{*} \mathrm{i} \\
\mathrm{t} 6 & :=40 * \mathrm{j} \\
\mathrm{t} 7 & :=\mathrm{t} 5+\mathrm{t} 6
\end{aligned}
$$

t8 := B[t7]
t9 := t4 + t8
$\mathrm{t} 10:=4$ * i
$\mathrm{t} 11:=40$ * j
$\mathrm{t} 12:=\mathrm{t} 10+\mathrm{t} 11$
S[t12]:= t9
j := j + 1
goto B4

## Optimization of B5 (1/4)

$$
\begin{aligned}
\mathrm{B} 5: & \mathrm{t} 1:=4 * \mathrm{i} \\
\mathrm{t} 2 & :=40^{*} \mathrm{j} \\
\mathrm{t} 3 & :=\mathrm{t} 1+\mathrm{t} 2 \\
\mathrm{t} 4 & :=\mathrm{A}[\mathrm{t} 3] \\
\mathrm{t} 5 & :=4 * \mathrm{i} \\
\mathrm{t} 6 & :=40^{*} \mathrm{j} \\
\mathrm{t} 7 & :=\mathrm{t} 5+\mathrm{t} 6
\end{aligned}
$$

t8 := B[t7]
t9 := t4 + t8

$$
\begin{aligned}
& \mathrm{t} 10:=4^{*} \mathrm{i} \\
& \mathrm{t} 11:=40 \text { * } \mathrm{j}
\end{aligned}
$$

$$
\mathrm{t} 12:=\mathrm{t} 10+\mathrm{t} 11
$$

$$
\mathrm{S}[\mathrm{t} 12]:=\mathrm{t} 9
$$

$$
j:=j+1
$$

goto B4

A same value is assigned to temporary locations $t 1, \mathrm{t} 5, \mathrm{t} 10$

Optimization of B5 (2/4)

B5: t1:=4*i

$$
\begin{aligned}
& \mathrm{t} 2:=40^{*} \mathrm{j} \\
& \mathrm{t} 3:=\mathrm{t} 1+\mathrm{t} 2 \\
& \mathrm{t} 4:=\mathrm{A}[\mathrm{t} 3] \\
& \mathrm{t} 6:=40^{*} \mathrm{j} \\
& \mathrm{t} 7:=\mathrm{t} 1+\mathrm{t} 6
\end{aligned}
$$

$$
\begin{aligned}
& \mathrm{t} 8:=\mathrm{B}[\mathrm{t} 7] \\
& \mathrm{t} 9:=\mathrm{t} 4+\mathrm{t} 8 \\
& \hline \mathrm{t} 11:=40 * \mathrm{j} \\
& \mathrm{t} 12:=\mathrm{t} 1+\mathrm{t} 11 \\
& \mathrm{~S}[\mathrm{t} 12]:=\mathrm{t} 9 \\
& \mathrm{j}:=\mathrm{j}+1 \\
& \text { goto } \mathrm{B} 4
\end{aligned}
$$

A same value is assigned to temporary locations $\mathrm{t} 2, \mathrm{t} 6, \mathrm{t} 11$

Optimization of B5 (3/4)

B5: t1 := $4^{*}$ i

$$
\begin{aligned}
& \mathrm{t} 2:=40^{*} \mathrm{j} \\
& \mathrm{t} 3:=\mathrm{t} 1+\mathrm{t} 2 \\
& \mathrm{t} 4:=\mathrm{A}[\mathrm{t} 3] \\
& \mathrm{t} 7:=\mathrm{t} 1+\mathrm{t} 2 \\
& \hline
\end{aligned}
$$

$$
\begin{aligned}
& \mathrm{t} 8:=\mathrm{B}[\mathrm{t} 7] \\
& \mathrm{t} 9:=\mathrm{t} 4+\mathrm{t} 8 \\
& \mathrm{t} 12:=\mathrm{t} 1+\mathrm{t} 2 \\
& \mathrm{~S}[\mathrm{t} 12]:=\mathrm{t} 9 \\
& \mathrm{j}:=\mathrm{j}+1 \\
& \text { goto B4 }
\end{aligned}
$$

A same value is assigned to temporary locations $\mathrm{t} 3, \mathrm{t} 7, \mathrm{t} 12$

Optimization of B5 (4/4): the final code obtained

B5: t1:=4*i
t2 : $=40$ * $j$
$\mathrm{t} 3:=\mathrm{t} 1+\mathrm{t} 2$
$\mathrm{t} 4:=\mathrm{A}[\mathrm{t} 3]$
t8:= B[t3]
t9:= t4 + t8
$\mathrm{S}[\mathrm{t} 3]:=\mathrm{t} 9$
$\mathrm{j}:=\mathrm{j}+1$
goto B4

Global optimizations

## Global optimization: the principle

## Typical examples of global optimizations:

- constant propagation trough several basic blocks
- elimination of global redundancies
- code motion: move invariant computations outside loops
- dead code elimination

How to "extrapolate" local optimizations to the whole CFG?

1. associate (local) properties to entry/exit points of BBs
(set of active variables, set of available expressions, etc.)
2. propagate them along CFG paths
$\rightarrow$ enforce consistency w.r.t. the CFG structure
3. update each BB (and CFG edges) according to these global properties
$\Rightarrow$ a possible technique: data-flow analysis

Data-flow analysis

Static computation of data related properties of programs

- (local) properties $\varphi_{i}$ associated to some pgm locations $i$
- set of data-flow equations:
$\rightarrow$ how $\varphi_{i}$ are transformed along pgm execution
Rks:
- forward vs backward propagation (depending on $\varphi_{i}$ )
- cycles inside the control flow $\Rightarrow$ fix-point equations !
- a solution of this equation system:
$\rightarrow$ assigns "globaly consistent" values to each $\varphi_{i}$
Rk: such a solution may not exist ...
- decidability may require abstractions and/or approximations

Example: elimination of redundant computations
An expression $e$ is redundant at location $i$ iff

- it is computed at location $i$
- this expression is computed on every path going from the initial location to location $i$
Rk: we consider here syntactic equality
- on each of these paths: operands of $e$ are not modified between the last computation of $e$ and location $i$

Optimization is performed as follows:

1. computation of available expressions (data-flow analysis)
2. $x:=e$ is redundant at loc $i$ if $e$ is available at $i$
3. $x:=e$ is replaced by $x:=t$
(where $t$ is a temp. memory containing the value of $e$ )

## Elimination of redundant computation: an example



Data-flow equations for available expressions (1/2)

For a basic block $b$, we note:

- In $(b)$ : available expressions when entering $b$
- Kill(b): expressions made non available by $b$ (because an operand of $e$ is modified by $b$ )
- Gen(b): expressions made available by block $b$ (computed in $b$, operands not modified afterwards)
- Out $(b)$ : available expressions when exiting $b$

$$
\operatorname{Out}(b)=(\operatorname{In}(b) \backslash \operatorname{Kill}(b)) \cup \operatorname{Gen}(b)=F_{b}(\operatorname{In}(b))
$$

$F_{b}=$ transfer function of block $b$

Data-flow equations for available expressions (2/2)

How to compute $\operatorname{In}(b)$ ?

- if $b$ is the initial block:

$$
\operatorname{In}(b)=\emptyset
$$

- if $b$ is not the initial block:

An expression $e$ is available at its entry point iff it is available at the exit point of each predecessor of $b$ in the CFG

$$
\operatorname{In}(b)=\bigcap_{b^{\prime} \in \operatorname{Pre}(b)} \operatorname{Out}\left(b^{\prime}\right)
$$

$\Rightarrow$ forward data-flow analysis along the CFG paths
Q: cycles inside the CFG $\Rightarrow$ fix-points computations greatest vd least solutions ?

Solving the data-flow equations (1/2)

Let $(E, \leq)$ a partial order.

- For $X \subseteq E, a \in E$ :
- $a$ is an upper bound of $X$ if $\forall x \in X . x \leq a$
- $a$ is a lower bound of $X$ if $\forall x \in X . a \leq x$
- The least upper bound (lub, $\sqcup$ ) is the smallest upper bound
- The great lower bound $(g / b, \sqcap)$ is the largest lower bound
- $(E, \leq)$ is a lattice if every subset of $E$ admits a lub and a glb.
- A function $f: 2^{E} \rightarrow 2^{E}$ is monotonic if:

$$
\forall X, Y \subseteq E \quad X \leq Y \Rightarrow f(X) \leq f(Y)
$$

- $X=\left\{x_{0}, x_{1}, \ldots x_{n}, \ldots\right\} \subseteq E$ is an (increasing) chain if $x_{0} \leq x_{1} \leq \ldots x_{n} \leq \ldots$
- A function $f: 2^{E} \rightarrow 2^{E}$ is ( $\sqcup$-)continuous if $\forall$ increasing chain $X, f(\sqcup X)=\sqcup f(X)$

Solving the data-flow equations (2/2)

Fix-point equation: solution?

- properties are finite sets of expressions $\mathcal{E}$
- $\left(2^{\mathcal{E}}, \subseteq\right)$ is a complete lattice
$\perp$ : least element, $\top$ : greatest element
$\sqcap$ : greatest lower bound, ப: least upper bound
- data-flow equations are defined on monotonic and continuous operators $(\cup, \cap)$ on $\left(2^{\mathcal{E}}, \subseteq\right)$
- Kleene and Tarski theorems:
- the set of solution is a complete lattice
- the greatest (resp. least) solution can be obtained by successive iterations w.r.t. the greatest (resp. least) element of $2^{\mathcal{E}}$

$$
\operatorname{lfp}(f)=\sqcup\left\{f^{i}(\perp) \mid i \in \mathbf{N}\right\} \quad \operatorname{gfp}(f)=\sqcap\left\{f^{i}(\mathrm{~T}) \mid i \in \mathbf{N}\right\}
$$

## Back to the example



## Generalization

- Data-flow properties are expressed as finite sets associated to entry/exit points of basic blocs: In(b), out(b)
- For a forward analysis:
- property is "false" $(\perp)$ at entry of initial block
- $\operatorname{Out}(b)=F_{b}(\operatorname{In}(b))$
- In(b) depends on Out(b'), where $b^{\prime} \in \operatorname{Pred}(b)$
( $\square$ for " $\forall$ paths", $\sqcup$ for " $\exists$ path")
- For a backward analysis:
- property is "false" $(\perp)$ at exit of final block
- $\operatorname{In}(b)=F_{b}(\operatorname{Out}(b))$
- Out(b) depends on $\operatorname{In}\left(\mathbf{b}^{\prime}\right)$, where $b^{\prime} \in \operatorname{Succ}(b)$

Data-flow equations: forward analysis

| Forward <br> analysis, <br> least fix-point | $\operatorname{In}(b)=\left\{\begin{array}{l}\perp \text { if } \mathrm{b} \text { is initial } \\ \bigsqcup_{b^{\prime} \in \operatorname{Pre}(b)} \operatorname{Out}\left(b^{\prime}\right) \text { otherwise. }\end{array}\right.$ |
| :--- | :--- |
| Forward <br> analysis, <br> greatest fix-point | $\operatorname{In}(b)=\left\{\begin{array}{l}\perp \text { if } b \text { is initial } \\ \prod_{b}(\operatorname{In}(b)) \\ b^{\prime} \in \operatorname{Pre}(b)\end{array}\right.$ |
| $\operatorname{Out}(b)=F_{b}(\operatorname{In}(b))$ |  |

Data-flow equations: backward analysis

| Backward analysis, least fix-point | $\begin{aligned} & \operatorname{Out}(b)=\left\{\begin{array}{l} \perp \bigsqcup_{b^{\prime} \in \operatorname{Succ}\left(b^{\prime}\right)}^{\perp} \operatorname{In}\left(b^{\prime}\right) \text { is final } \\ \operatorname{In}(b)=F_{b}(\operatorname{Out}(b)) \end{array}, .\right. \end{aligned}$ |
| :---: | :---: |
| Backward analysis, greatest fix-point | $\begin{aligned} & \operatorname{Out}(b)=\left\{\begin{array}{l} \perp \prod_{b^{\prime} \in \operatorname{Succ}(b)} \operatorname{if} b \text { is final } \\ \operatorname{In}\left(b^{\prime}\right) \text { otherwise. } \end{array}\right. \\ & \operatorname{In}(b)=F_{b}(\operatorname{Out}(b)) \end{aligned}$ |

## Active Variable

- A variable $x$ is inactive at location $i$ if it is not used in every CFG-path going from $i$ to $j$, where $j$ is:
- either a final instruction
- or an assignement to x.
- An instruction $\mathrm{x}:=\mathrm{e}$ at location i is useless if x is inactive at location i.
$\Rightarrow$ useless instuctions can be removed ...

Rk: used means
"in a right-hand side assignment or in a branch condition".

## Data-flow analysis for inactive variables

We compute the set of active variables ...

## Local analysis

$\operatorname{Gen}(b)$ is the set of variables $\times$ s.t. x is used in block $b$, and, in this block, any assignement to $x$ happens after the (first) use of $x$.
$\operatorname{Kill}(i)$ is the set of variables x assigned in block $b$.

Global analysis : backward analysis, $\exists$ a CFG-path (least solution)

$$
\begin{aligned}
\operatorname{Out}(b) & =\bigcup_{b^{\prime} \in \operatorname{Succ}(b)} \operatorname{In}\left(b^{\prime}\right) \\
\operatorname{In}(b) & =(\operatorname{Out}(b) \backslash \operatorname{Kill}(b)) \cup \operatorname{Gen}(b)
\end{aligned}
$$

- $\operatorname{Out}(b)=\emptyset$ if $b$ is final.

Computation of functions Gen and Kill
Recursively defined on the syntax of a basic bloc $B$ :

$$
\mathrm{B}::=\varepsilon|\mathrm{B} ; \mathrm{x}:=\mathrm{a}| \mathrm{B} ; \text { if } \mathrm{b} \text { goto } \mathrm{l} \mid \mathrm{B} ; \text { goto } \mathrm{l}
$$

| Gen(B) | $=\operatorname{Gen}_{l}(\mathrm{~B}, \emptyset)$ |
| :---: | :---: |
| Kill (B) | $=\operatorname{Kill}_{l}(\mathrm{~B}, \emptyset)$ |
| $\operatorname{Gen}_{l}(\mathrm{~B} ; \mathrm{x}:=\mathrm{a}, X)$ | $=\operatorname{Gen}_{l}(\mathrm{~B}, X \backslash\{\mathrm{x}\} \cup \operatorname{Used}(\mathrm{a})$ ) |
| $G e n_{l}(\mathrm{~B}$; if b goto l, $X$ ) | $=G e n_{l}(\mathrm{~B}, X \cup \operatorname{Used}(\mathrm{~b}))$ |
| $G e n_{l}(\mathrm{~B} ;$ goto $\mathrm{l}, \mathrm{X})$ | $=\operatorname{Gen}_{l}(\mathrm{~B}, X)$ |
| $\operatorname{Gen}_{l}(\varepsilon, X)$ | $=X$ |
| $\operatorname{Kill}_{l}(\mathrm{~B} ; \mathrm{x}:=\mathrm{a}, X)$ | $=\operatorname{Kill}_{l}(\mathrm{~B}, X \cup\{\mathrm{x}\})$ |
| $\operatorname{Kill}_{l}(\mathrm{~B}$; if b goto l, $X$ ) | $=\operatorname{Kill}_{l}(\mathrm{~B}, X)$ |
| $\operatorname{Kill}_{l}(\mathrm{~B} ;$ goto 1, $X$ ) | $=\operatorname{Kill}_{l}(\mathrm{~B}, X)$ |
| $\operatorname{Kill}_{l}(\varepsilon, X)$ | $=X$ |

Used(e): set of variables appearing in expression e

## Removal of useless instructions

1. Compute the sets $\operatorname{In}(B)$ and $O u t(B)$ of active variables at entry and exit points of each blocks.
2. Let $F$ : Code $\times 2^{\text {Var }} \rightarrow$ Code $F(b, X)$ is the code obtained when removing useless assignments inside $b$, assuming that variables of $X$ are active at the end of $b$ execution.

$$
\begin{array}{ll}
F(\mathrm{~B} ; \mathrm{x}:=\mathrm{a}, X) & = \begin{cases}F(B, X) & \text { if } x \notin X \\
F(B,(X \backslash\{x\}) \cup \mathrm{Used}(a)) ; x:=a & \text { if } x \in X\end{cases} \\
F(\mathrm{~B} ; \text { if b goto } \mathrm{l}, X) & =F(B, X \cup \operatorname{Used}(b)) ; \text { if b goto } 1 \\
F(\mathrm{~B} ; \text { goto } 1, X) & =F(B, X) ; \text { goto } 1 \\
F(\epsilon, X) & =\epsilon
\end{array}
$$

3. Replace each block $B$ by $F(B$, Out $(B))$.

Rk: this transformation may produce new inactive variables ...

## Constant propagation

Example:


- A variable is constant at location 1 if its value at this location can be computed at compilation time.
- At exit point of B1 and B2, i and $j$ are constants
- At entry point of B3, $i$ is not constant, $j$ is constant.

Constant propagation: the lattice

- Each variable takes its value in $D=\mathbf{N} \cup\{\top, \perp\}$, where:
- T means "non constant value"
- $\perp$ means "no information"
- Partial order relation $\leq$ :

$$
\text { if } v \in D \text { then } \perp \leq v \text { and } v \leq \top \text {. }
$$

- The least upper bound U : for $x \in D$ and $v_{1}, v_{2} \in \mathbf{N}$

$$
\begin{array}{|l|l|l|l|}
\hline x \sqcup \top=\mathrm{\top} & x \sqcup \perp=x & v_{1} \sqcup v_{2}=\mathrm{T} \text { if } v_{1} \neq v_{2} & v_{1} \sqcup v_{1}=v_{1} \\
\hline
\end{array}
$$

Rk: relations $\leq$ is extended to functions $\operatorname{Var} \rightarrow D$

$$
f 1 \leq f 2 \text { iff } \forall x . f 1(x) \leq f 2(x)
$$

Constant propagation: data-flow equations

- property at location 1 is a function $\operatorname{Var} \rightarrow D$.
- Forward analysis:

$$
\begin{aligned}
& \operatorname{In}(b)= \begin{cases}\lambda x . \perp & \text { if } b \text { is initial, } \\
\bigsqcup_{b^{\prime} \in \operatorname{Pred}(b)} \operatorname{Out}\left(b^{\prime}\right) & \text { otherwise }\end{cases} \\
& \operatorname{Out}(b)=F_{b}(\operatorname{In}(b))
\end{aligned}
$$

Transfer function $F_{b}$ ?
a basic block $=$ sequence of assignements

$$
\mathrm{b}::=\epsilon \mid \mathrm{x}:=\mathrm{e} ; \mathrm{b}
$$

$F_{b}$ defined by syntactic induction:

$$
\begin{array}{ll}
F_{\mathrm{x}:}:=\mathrm{e} ; \mathrm{b}(f) & =F_{\mathrm{b}}(f[x \mapsto f(e)]) \text { (assuming variable initialization) } \\
F_{\epsilon}(f) & =f
\end{array}
$$

Pgm transformation:
$\forall$ block $b, f \in \operatorname{In}(b), f(\mathrm{e})=v \Rightarrow \mathrm{x}:=\mathrm{e}$ replaced by $\mathrm{x}:=\mathrm{v}$

Constant propagation can be viewed as abstraction of the standard semantics where expressions values are interpreted other domain $D$

1. Write this abstract semantics for the while language in an operational style (relation $\longrightarrow \#$ )
2. Define a program transformation which removes useless computations (i.e., computations between constant operands)
3. Give the equations which express the correctness of this transformation

## Another example of data-flow analysis

A computation of an expression $e$ can be anticipated at loc. $p$ iff:

- all paths from $p$ contains a location $p_{i}$ s.t. $e$ is computed at $p_{i}$
- $e$ operands are not modified between $p$ and $p_{i}$

Example:

```
if (x>0)
        x = i + j;
    else
    repeat y = (i + j) * 2; x := x+1 ; until x>10
```

can be changed to

```
tmp = i + j;
if (x>0)
    x = tmp;
else
        repeat y = tmp * 2; x := x+ 1 ; until x>10
```

Application: moving invariants outside loops

## Interprocedural analysis

```
main()
{
    int i,j ;
    void f() {
        int x,y ;
        y = i+j ; x = y ;
    }
    i = 0 ;
    f() ;
    j = 1;
```

- a dedicated basic block $B_{\text {call }}$ for the call instruction
- $\operatorname{In}\left(B_{c a l l}\right)=\operatorname{In}\left(B_{f_{i n}}\right), \operatorname{Out}\left(B_{c a l l}\right)=\operatorname{Out}\left(B_{f_{o u t}}\right)$

Rks:

- static binding is be assumed
- parameters ?


## Exercice: Computation of active variables

## Control-flow analysis

$\rightarrow$ retrieve program control structures from the CFG?
Application: loop identification
$\Rightarrow$ use of graph-theoretic notions:

- dominator, dominance relation
- strongly connected components

Rk1: most loops are easier to identify at syntactic level, but:

- use of goto instruction still allowed in high-level languages
- optimization performed on intermediate representations (e.g., CFG)

Rk2: other approaches can be used to identify loops ...

Node $B_{1}$ is a dominator of $B_{2}\left(B_{2} \leq B_{1}\right)$ iff every path from the entry block to $B_{2}$ goes through $B_{1} . \operatorname{Dom}(B)=\left\{B_{i} \mid B_{i} \leq B\right\}$.

An edge $\left(B_{1}, B_{2}\right)$ is a loop back edge iff $B_{2} \leq B_{1}$
To find "natural loops":

1. find a back edge $\left(B_{1}, B_{2}\right)$
2. find $\operatorname{Dom}\left(B_{2}\right)$
3. find blocks $B_{i} \in \operatorname{Dom}\left(B_{2}\right)$ s.t. there is a path from $B_{i}$ to $B_{2}$ not containing $B_{1}$.


Some machine level optimization techniques

## Register Allocation

Pb:

- expression operands are much efficiently accessed when liying in registers (instead of RAM)
- the "real" number of registers is finite (and usually small)
$\Rightarrow$ register allocation techniques:
- assigns a register to each operand (variable, temporary location)
- performs the memory exchange (LD, ST) when necessary
- optimality ?

Several existing techniques:

- optimal code generation for arithmetic expressions
- graph-coloring techniques (more general case)
- etc.


## Code generation for arithmetic expressions: example

code generation for ( $\mathrm{a}+\mathrm{b}$ ) - ( $\mathrm{c}-(\mathrm{d}+\mathrm{e})$ )
with 2 registers, and instruction format =OPRi, Ri, $X \quad($ where $X=R i$ or $X=M[x])$
Solution 1: one register needs to be saved

```
LD R0, M[a]
ADD R0, R0, M[b]
LD R1, M[d]
ADD R1, R1, M[e]
ST R1, M[t1] ! register R1 needs to be saved ...
LD R1, M[c]
SUB R1, R1, M[t1]
SUB R0, R0, R1
```

Solution 2: no register to save
LD R0, M[c]
LD R1, M[d]
ADD R1, R1, M[e]
SUB R0, R0, R1
LD R1, M[a]
ADD, R1, R1, M[b]
SUB, R1, R1, R0

## Code generation for arithmetic expressions: principle

Evaluation of e1 op e2, assuming:

- $r$ registers are available, evaluation of ei requires $r_{i}$ registers
- intsruction format is "op reg, reg, ad" where "ad" is a register or a memory location


## Several cases:

$r_{1}>r_{2}$ :

- after evaluation of e1, $r_{1}-1$ registers available
- $r_{1}-1 \geq r_{2} \Rightarrow r_{1}-1$ registers are enough for e2
- $\Rightarrow r_{1}-r$ register allocations are required
$r_{1}=r_{2}$ :
- after evaluation of e1, $r_{1}-1$ registers available
- $r_{1}-1<r_{2}, \Rightarrow r_{2}\left(=r_{1}\right)$ registers required for e2
- $\Rightarrow r_{1}+1-r$ register allocations are required
$r_{1}<r_{2}$ :
- after evaluation of e1, $r_{1}-1$ registers available
- $r_{1}-1<r_{2}, \Rightarrow r_{2}$ (> $r_{1}$ ) registers required for e2
- $\Rightarrow r_{2}+1-r$ register allocations are required
- $\quad r_{2}-r$ allocations are enough if e2 is evaluated first !


## A two-phase algorithm

Step 1: each AST node is labeled with the number of registers required for its evaluation
$\mathrm{rNb}: \operatorname{Aexp} \rightarrow \mathbf{N}(\mathrm{rNb}(\mathrm{e})$ is the number of registers required to evaluate e)

$$
\begin{aligned}
& \operatorname{rNb}(e)=\left\{\begin{array}{lll}
1 & \text { if } e & \text { is a left leaf } \\
0 & \text { if } e & \text { is a right leaf }
\end{array}\right. \\
& \mathrm{rNb}(\mathrm{e} 1 \mathrm{op} \mathrm{e} 2)=\left\{\begin{array}{l}
\max \left(\mathrm{rNb}\left(\mathrm{e}_{1}\right), \mathrm{rNb}\left(\mathrm{e}_{2}\right)\right) \quad \text { if } \mathrm{rNb}\left(\mathrm{e}_{1}\right) \neq \mathrm{rNb}\left(\mathrm{e}_{2}\right) \\
\mathrm{rNb}\left(\mathrm{e}_{1}\right)+1 \quad \text { if } \mathrm{rNb}\left(\mathrm{e}_{1}\right)=\mathrm{rNb}\left(\mathrm{e}_{2}\right)
\end{array}\right.
\end{aligned}
$$

Step 2: "optimal" code generation using these labels (exercice)
$\rightarrow$ for a binary node e1 op e2:

- evaluate the more register demanding sub-expression first
- write the result in a register $R i$ (save one if necessary)
- evaluate the other sub-expression, write the result in a register $R j$
- generate OP, Ri, Ri, Rj


## A more general technique

1. Intermediate code is generated assuming $\infty$ numbers of "symbolic" registers $S_{i}$
2. Assign a real register $R_{i}$ to each symbolic register s.t.

- if $R_{i}$ is assigned to $S_{i}, R_{j}$ is assigned to $S_{j}$
- then Lifetime $\left(S_{i}\right) \cap$ lifetime $\left(S_{j}\right) \neq \emptyset \Rightarrow R_{i} \neq R_{j}$
where Lifetime $\left(S_{i}\right)$ : sequences of pgm location where $S_{i}$ is active
How to ensure this condition?

Collision graph $G_{C}$ :

- Nodes denote lifetime symbolic registers: $N_{i}=\left(S_{i}\right.$, Lifetime $\left.\left(S_{i}\right)\right)$
- Edges are the set $\left\{\left(\left(S_{1}, L_{1}\right),\left(S_{2}, L_{2}\right) \mid L_{1}\right.\right.$ and $L_{2}$ overlap $\}$
$\Rightarrow$ register allocation with $k$ real register $=k$-coloring problem of $G_{C}$
(i.e., assign a distinct colour to each pair of adjacent nodes)


## Example 1

```
S1 := e1
S2 := e2
```

$\ldots \quad$ S2 $\ldots$
S3 $:=S 1+S 2$

S4 : $=$ S $1 * 5$

```
S1 used
```

S4 used
S3 used

Collision Graph:


Can be colored with 2 colors $\Rightarrow 2$ real registers are enough

## $k$-coloring in practice ? (1)

```
When k>2, this problem is NP-complete ...
An efficient heuristic:
Repeat:
    if exists a node N of G}\mp@subsup{G}{C}{}\mathrm{ such that degree(N)<k
    ( }N\mathrm{ can receive a distinct colour from all its neighbours)
    remove N (and corresponding edges) from G}\mp@subsup{G}{C}{}\mathrm{ and push it on a stack S
    else (G}\mp@subsup{G}{C}{}\mathrm{ is assumed to be non }k\mathrm{ -colourable)
    choose a node N (1)
    remove N from G}\mp@subsup{G}{C}{(2)
until \(G_{C}\) is empty
While \(S\) is not empty
pop a node from \(S\)
add it to \(G\), give it a colour not used by one of its neighbours
```

Rk: this algo may sometimes miss $k$-colorable graphs ...

What happens when there is no node of degree $<k$ ?
(1) choose a node $N$ to remove:
$\rightarrow$ high degree in $G_{C}$, not corresponding to an inner loop, etc.
(2) remove node $N$ :
$\rightarrow$ save a register into memory before (register spilling)
Several attempts to improve this algorithm:
node coalescing:
S1 $:=\mathrm{S} 2$, Lifetime $(S 1) \cap \operatorname{Lifetime}(S 2)=\emptyset$
$\Rightarrow$ nodes associated to S1 and S2 could be merged pb: it increases the graph degree ...
lifetime splitting:
long lifetime increases the graph degree
$\Rightarrow$ split it into several parts ...
pb : where to split?

## Instruction scheduling

Motivation: exploit the instruction parallelism provided in many target architectures (e.g., VLIW processors, instruction pipeline, etc.)

Pbs:

- possible data dependancies between consecutive instructions (e.g., x := 3 ; y := x+1)
- possible resource conflicts between consecutive instructions (ALU, co-processors, bus, etc.)
- consecutive instructions may require various execution cycles
- etc.
$\Rightarrow$ Main technique: change the initial instruction sequence (instruction scheduling)
- preserve the initial pgm semantics
- better exploit the hardware resources

Rks: "loop unrolling" and "expression tree reduction" may help ...

## Dependency Graph

## Data dependencies:

$\rightarrow$ execution order of 2 instructions should be preserved in the following situation:
Read After Write (RAW) : inst. 2 read a data written by inst. 1
Write After Read (WAR) : inst. 2 write a data read by inst. 1
Write After Write (WAW) : inst. 2 write a data written by inst. 1

Dependency graph $G_{D}$

- nodes $=\{$ instructions $\}$
- edges $=\left\{\left(i_{1}, d, i_{2}\right) \mid\right.$ there is a dependency $d$ from $i_{1}$ to $\left.i_{2}\right\}$

Rk: if we consider a basic block, $G_{D}$ is a directed acyclic graph.

Any topological sort of $G_{D}$ leads to a valid result (w.r.t. pgm semantics). This sort can be influenced by several factors:

- the resources used by the instruction ( $\exists$ a static reservation table)
- the number of cycles it requires (latency)
- etc.


## Example

1. Draw the dependency graph $G_{D}$ associated to the following program
2. Give a topological sort of $G_{D}$
3. Rewrite this program with a "maximal" parallelism
4. a := x+1
5. $x:=2+y$
6. $y:=z+1$
7. $t:=a * b$
8. v :=a*c
9. $v:=3+t$

## Software pipelining (overview ... )

Idea: exploit the parallelism between instrutions of distinct loop iterations

```
for k in 1 .. N loop
    r := T[k] ; - inst. A
    x := x + r ; - inst. B
    T[k] := x ; - inst. C
end loop
```

Assumptions: 3 cycles per instruction, 1 cycle delay when no dependencies

- Initial exec. sequence: $A(1), B(1), C(1), A(2), B(2), C(2), \ldots A(k), B(k), C(k)$
$\Rightarrow 7$ cycles / iteration
- "Pipelined exec. sequence": $A(1), A(2), A(3), B(1), B(2), B(3), C(1), C(2), C(3), \ldots$
$\Rightarrow 3$ cycles / iteration!
(real life) pbs:
- N not always divisible by the number of instruction in the loop body

```
for k in 1 to N-2 step 3 loop A(k) ; A(k+1) ; A(k+2) ...
```

- high latency instruction in the loop body
- possible overhead when $k$ is not "large enough"

Code Generation

Overview

1. Introduction
2. The "M" Machine
3. Code generation for basic while
4. Extension 1: blocks and procedures
5. Extension 2: some OO features

Main issues for code generation

- input : (well-typed) source pgm AST
- output : machine level code

Expected properties for the output:

- compliance with the target machine instruction set, architecture, memory access, OS, ...
- correctness of the generated code semantically equivalent to the source pgm
- optimality w.r.t. non-functional criteria execution time, memory size, energy comsumption, ...

A pragmatic approach


Intermediate Representation 1


> optimization(s)
optimization(s)
Intermediate Representation n

target machine code

- Abstractions of a real target machine
- generic code level instruction set
- simple addressing modes
- simple memory hierarchy
- Examples
- a "stack machine"
- a "register machine"
- etc.

Rk: other intermediate representations are used in the optimization phases ...

## The " $M$ " Machine

- Machine with (unlimited) registers Ri special registers: program counter PC, frame pointer FP, stack pointer SP, register R0 (contains always 0)
- Instructions, addresses, and integers take 4 bytes in memory
- Address of variable x is E - offx where:
- $\mathrm{E}=$ address of the environment definition of x
- offx $=$ offset of $x$ within this environment (staticaly computed, stored in the symbol table)
- Addressing modes: Ri, val (immediate), Ri +/- Rj, Ri +/- offset
- usual arithmetic instructions OPER: ADD, SUB, AND, etc.
- usual (conditional) branch instructions BRANCH: BA, BEQ, BGT, etc.


## Instruction Set

| instruction | informal semantics |
| :--- | :--- |
| OPER Ri, Rj, Rk | $\mathrm{Ri} \leftarrow \mathrm{Rj}$ oper Rk |
| OPER Ri, Rk, val | $\mathrm{Ri} \leftarrow \mathrm{Rj}$ oper val |
| CMP Ri, Rj | $\mathrm{Ri}-\mathrm{Rj}$ (set cond flags) |
| LD Ri, [adr] | $\mathrm{Ri} \leftarrow$ Mem[adr] |
| ST Ri, [adr] | $\mathrm{Mem}[\mathrm{adr} \leftarrow \mathrm{Ri}$ |
| BRANCH label | if cond then PC $\leftarrow$ label <br> else PC $\leftarrow \mathrm{PC}+4$ |
| CALL label | branch to the procedure <br> labelled with label <br> end of procedure |
| RET |  |

## The while language

$$
\begin{aligned}
& \text { p ::= d;c } \\
& \text { d }::=\operatorname{var} x \mid d ; d \\
& s \quad::=x:=a|s ; s| \text { if } b \text { then } s \text { else } s \mid \text { while } b s \\
& a \quad::=n|x| a+a|a * a| \ldots \\
& b \quad::=a=a \mid b \text { and } b|\operatorname{not} b| \ldots
\end{aligned}
$$

Rk: terms are well-typed
$\rightarrow$ distinction between boolean and arithmetic expr.

Exo: Give the "M Machine" code for the following terms:

1. $y:=x+42 *(3+y)$
2. if (not $x=1$ ) then $x:=x+1$

$$
\text { else } x \text { := x-1 ; y := x ; }
$$

Functions for Code Generation

## GCStm : Stm $\rightarrow$ Code*

GCStm (s) computes the code c corresponding to statement s.

GCAExp : Exp $\rightarrow$ Code* $\times$ Reg GCAExp (e) returns a pair (C, i) where C is the code allowing to 1. compute the value of e, 2. store it in Ri.

GCBExp : $\mathrm{BExp} \times \mathcal{L}$ abel $\times \mathcal{L}$ abel $\rightarrow$ Code*
GCBExp (b, ltrue, lfalse) produces code C allowing to compute the value of b and branch to label ltrue when this value is "true" and to lfalse otherwise.

## Auxilliary functions

$$
\begin{aligned}
\text { AllocRegister }: & \rightarrow \text { Reg } \\
& \text { allocate a new register } \mathrm{Ri}
\end{aligned}
$$

```
newLabel : }->\mathrm{ Labels
    produce a new label
GetOffset : Var }->\mathbf{N
    returns the offset
    corresponding to the specified name
```

|| denotes concatenation for Code sequences.

## GCStm

| $\operatorname{GCStm}(\mathrm{x}:=\mathrm{e})$ |  | $\begin{aligned} & (\mathrm{C}, \mathrm{i})=\mathrm{GCAExp}(\mathrm{e}), \\ & \mathrm{k}=\mathrm{GetOffset}(\mathrm{x}) \end{aligned}$ |
| :---: | :---: | :---: |
|  | in | $\mathrm{C} \\|$ ST Ri, [FP-k] |
| $\operatorname{GCStm}\left(\mathrm{c}_{1} ; \mathrm{c}_{2}\right)$ | Let | $\mathrm{C}_{1}=\operatorname{GCStm}\left(\mathrm{C}_{1}\right)$ |
|  |  | $\mathrm{C}_{2}=\operatorname{GCStm}\left(\mathrm{C}_{2}\right)$ |
|  | in | $\mathrm{C}_{1} \\| \mathrm{C}_{2}$ |

## GCStm (2)

$$
\begin{aligned}
& \text { GCStm (while e c) }=\text { Let } \mathrm{lb}=\text { newLabel(), } \\
& \text { Itrue=newLabel(), } \\
& \text { Ifalse=newLabel () } \\
& \text { in lb:|| } \\
& \text { GCBExp(e,ltrue,Ifalse)\| } \\
& \text { ltrue:|| } \\
& \text { GCStm(c) || } \\
& \text { BA lb|| } \\
& \text { Ifalse: }
\end{aligned}
$$

## GCStm (3)

GCStm (if e then $\mathrm{c}_{1}$ else $\mathrm{c}_{2}$ ) $=$ Let Inext=newLabel(), Itrue=newLabel (), Ifalse=newLabel ()<br>in GCBExp(e,Itrue,Ifalse)\|<br>Itrue:<br>GCStm $\left(\mathrm{C}_{1}\right) \|$<br>BA Inext ||<br>Ifalse:||<br>GCStm $\left(\mathrm{C}_{2}\right) \|$<br>Inext:

GCAexp


GCBexp

| $\operatorname{GCBExp}\left(\mathrm{e}_{1}=e_{2}\right.$, ,true,Ifalse $)$ | $\begin{aligned} = & \text { Let } \\ & \text { in } \end{aligned}$ | $\begin{aligned} & \left(\mathrm{C}_{1}, \mathrm{i}_{1}\right)=\operatorname{GCAExp}\left(\mathrm{e}_{1}\right), \\ & \left(\mathrm{C}_{2}, \mathrm{i}_{2}\right)=\operatorname{GCAExp}\left(\mathrm{e}_{2}\right), \\ & \mathrm{C}_{1}\left\\|\mathrm{C}_{2}\right\\| \\ & \mathrm{CMP} \mathrm{Ri}_{1}, \mathrm{Ri}_{2} \\ & \mathrm{BEQ} \text { Itrue } \\ & \mathrm{BA} \text { Ifalse } \end{aligned}$ |
| :---: | :---: | :---: |
| $\operatorname{GCBExp}\left(\mathrm{e}_{1}\right.$ et $e_{2}$, Itrue, Ifalse | $\begin{aligned} = & \text { Let } \\ & \text { in } \end{aligned}$ | ```l=newLabel() GCBExp(e) I:\| GCBExp(\mp@subsup{e}{2}{}}\mathrm{ ,Itrue,Ifalse)``` |
| GCBExp(NOT e,Itrue,lfalse) | $=$ | GCBExp(e,Ifalse,Itrue) |

## Exercises

- code obtained for
- $y ~:=x+42$ * (3+y)
- if (not $x=1$ ) then $x:=x+1$
else $x$ := $x-1$; $y ~:=x$;
- add new statements (e.g, repeat)
- add new operators (e.g, b ? e1 : e2)

Extension 1: blocks

## Blocks

Syntax

$$
\begin{aligned}
S & ::=\cdots \mid \text { begin } D_{V} ; S \text { end } \\
D_{V} & ::=\operatorname{var} x \mid D_{V} ; D_{V}
\end{aligned}
$$

Rk: variables are unitialized and assumed to be of type Int
Problems raised for code generation $\rightarrow$ to preserve scoping rules:

- local variables should be visible inside the block
- their lifetime should be limited to block execution

Possible locations to store local variables
$\rightarrow$ registers vs memory

## Storing local variables in memory - Example 1

```
begin
    var x ; var y ; var z ;
end
```



- a memory environment is associated to each declaration $D v$
- register FP contains the address of the current environment
- (static) offsets are associated to each local variables

Storing local variables in memory - Example 2

```
begin
    var x ; var y ; <sl>
    begin
        var x ; var z ; <s2>
    end ;
    <s3>
end
```



- entering/leaving a block $\rightarrow$ allocate/de-allocate a mem. env.
- nested block env. have to be linked together: "Ariane link"
$\Rightarrow$ a stack of memory environments $\ldots$. ( $\sim$ operational semantics)

Structure of the memory


1: global variables
2: execution stack, SP = last occupied address
3: heap (for dynamic allocation)

Code generation for variable declarations

```
SizeDecl: D
SizeDecl (d) computes the size of declarations d
```

| SizeDecl $(\operatorname{var} \mathrm{x})=$ | $4 \quad(\mathrm{x}$ of type Int) |  |
| :--- | :--- | :--- | :--- |
| SizeDecl $\left(\mathrm{d}_{1} ; \mathrm{d}_{2}\right)=$ | Let | $\mathrm{v}_{1}=\operatorname{SizeDecl}\left(\mathrm{d}_{1}\right)$, |
|  |  | $\mathrm{v}_{2}=\operatorname{SizeDecl}\left(\mathrm{d}_{2}\right)$ |
|  | in | $\mathrm{v}_{1}+\mathrm{v}_{2}$ |
|  |  |  |

Code Generation for blocks

GCStm (begin d ; s ; end) = Let size=SizeDecl(d), C=GCStm(s)<br>in $\quad A D D, S P, S P,-4 \|$<br>St FP, [SP] \|<br>ADD FP, SP, 0 ||<br>ADd SP, SP, size ||<br>C ||<br>ADD SP, FP, 0 ||<br>LD FP, [SP] ||<br>AdD SP, SP, 4 ||

## With the help of some auxilliary functions ...

| prologue(size) | epilogue | push register(Ri) |
| :--- | :--- | :--- |
| ADD SP, SP, -4 | ADD SP, FP, 0 |  |
| ST FP, [SP] | LD FP, [SP] | ADD SP, SP, -4 |
| ADD FP, SP, 0 | ADD SP, SP, +4 |  |
| ADD SP, SP, size |  |  |

$$
\begin{aligned}
& \text { GCStm (begin d ; s ; end) }=\text { Let size=SizeDecl(d), } \\
& \text { C=GCStm(s) } \\
& \text { in Prologue(size) || } \\
& \text { C \| } \\
& \text { Epilogue }
\end{aligned}
$$

Access to variables from a block ?
begin
var
$\mathrm{x}:=\ldots$
end

What is the memory address of x ?

- if x is a local variable (w.r.t the current block) $\Rightarrow \operatorname{adr}(\mathrm{x})=$ FP + GetOffset( x )
- if $x$ is a non local variable
$\Rightarrow$ it is defined in a "nesting" memory env. $E$
$\Rightarrow \operatorname{adr}(\mathrm{x})=\operatorname{adr}(E)+$ GetOffset(x) $\operatorname{adr}(E)$ can be accessed through the "Ariane link" ...


## Access to non local variables

The number $n$ of indirections to perform on the "Ariane link" depends on the "distance" between:

- the nesting level of the current block : $p$
- the nesting level of the target environment : r

More precisely:

- $r \leq p$
- $n=p-r$
$\Rightarrow n$ can be staticaly computed..


## Example

```
begin
    var x ; /* env. E1, nesting level = 1 */
    begin
        var y ; /* env. E2, nesting level = 2 */
        begin
            var z ; /* env. E3, nesting level = 3 */
            x := y + z /* s, nesting level = 3 */
        end
    end
end
```


## From statement s:

- no indirection to access to $z$
- 1 indirection to access to $y$
- 2 indirections to access to x


## Code generation for variable access

1. the nesting level $r$ of each identifier $\mathbf{x}$ is computed during type-checking;
2. it is associated to each occurrence of $x$ in the AST (via the symbol table)
3. function GCStm keeps track of the current nesting level $p$ (incremented/decremented at each block entry/exit)
$\operatorname{adr}(\mathrm{x})$ is obtained by executing the following code:

- if $r=p$ :
FP + GetOffset(x)
- if $r<p$ :

$$
\begin{aligned}
& \text { LD Ri, }[\mathrm{FP}] \\
& \mathrm{LD} \text { Ri, }[\mathrm{Ri}]\} \quad(p-r-1) \text { times } \\
& \mathrm{Ri}+\operatorname{GetOffset}(\mathrm{x})
\end{aligned}
$$

## Example (ctn'd)

begin

```
    var x ; /* env. E1, nesting level = 1 */
```

begin
var $y$; /* env. E2, nesting level $=2$ */
begin
var $z$; /* env. E3, nesting level $=3$ */
$\mathrm{x}:=\mathrm{y}+\mathrm{z} / * \mathrm{~s}$, nesting level $=3$ */
end
end
end


$$
\begin{aligned}
& \text { LD R1, [FP] ! R1 = adr(E2) } \\
& \text { LD R2, }[\mathrm{R} 1+\text { offy }] \quad!\mathrm{R} 2=y \\
& \text { LD R3, }[\mathrm{FP}+\mathrm{offz}] \quad!\mathrm{R} 3=\mathrm{z} \\
& \text { ADD R4, R2, R3 } \quad!\mathrm{R} 4=\mathrm{y}+\mathrm{z} \\
& \text { LD R5, [FP] } \\
& \text { LD R5, [R5] !R5 = adr(E1) } \\
& \text { ST R4, }[\mathrm{R} 5+\text { offx }] \quad!\mathrm{x}=\mathrm{y}+\mathrm{z}
\end{aligned}
$$

Code generated for statement s

Extension 2: Procedures

Procedure declarations:

$$
\begin{aligned}
D_{P} & ::=\operatorname{proc} p\left(F P_{L}\right) \text { is } S ; D_{P} \mid \epsilon \\
F P_{L} & ::=\mathbf{x}, F P_{L} \mid \epsilon
\end{aligned}
$$

Statements:

$$
\begin{aligned}
S & ::=\cdots \mid \text { begin } D_{V} ; D_{P} ; S \text { end } \mid \text { call } p\left(E P_{L}\right) \\
E P_{L} & ::=A E x p, E P_{L} \mid \epsilon
\end{aligned}
$$

$F P_{L}$ : formal parameters list ; $E P_{L}$ : effective parameters list

Rk: we assume here value-passing of integer parameters ...

## Example

```
var z ;
```

proc p1 () is
begin
proc p2 $(x, y)$ is $z:=x+y$;
$\mathrm{z}:=0$;
call p2 (z+1, 3) ;
end
proc p3 (x) is

```
        begin
        var z ;
        call p1() ; z := z+x ;
    end
```

call p3(42) ;

Main issues for code generation

Procedure $P$ is calling procedure $2 \ldots$

## Before the call:

- set up the memory environment of $Q$
- evaluate and "transmit" the effective parameters
- switch to the memory environment of $Q$
- branch to first intruction of $Q$

During the call:

- access to local/non local procedures and variables
- access to parameter values


## After the call:

- switch back to the memory environment of $P$
- resume execution to the P instruction following the call


## Access to non-local variables

```
proc main is
begin /* definition env. of p */
    var x ;
    proc p() is x:=3 ;
    proc q() is
        begin
            var x ;
            proc r() is call p() ;
            call r() ;
        end ;
    call q() ;
end
```

Static binding $\Rightarrow$ when p is executed:

- acces to the memory env. of main $=$ definition environment of the callee, static link
- acces to the memory env. of $r$ memory environment of the caller, dynamic link


## Information exchanged between callers and callees?

- parameter values
- return address
- address of the caller memory environment (dynamic link)
- address of the callee environment definition (static link)

This information should be stored in a memory zone:

- dynamically allocated
(exact number of procedure calls cannot be foreseen at compile time)
- accessible from both parties
(those address could be computed by the caller and the callee)
inside the execution stack, at well defined offsets w.r.t FP


## A possible "protocol" between the two parties

## Before the call, the caller:

- evaluates the effective parameters
- pushes their values
- pushes the static link of the callee
- pushes the return address, and branch to the callee's 1st instruction when it begins, the callee:
- pushes FP (dynamic link)
- assigns SP to FP (memory env. address)
- allocates its local variables on the stack
when it ends, the callee:
- de-allocates its local variables
- restores FP to caller's memory env. (dynamic link)
- branch to the return address, and pops it from the stack

After the call, the caller

- de-allocates the static link and parameters


## Organization of the execution stack



Memory environment of the callee

| ... | $\begin{aligned} & 0 \\ & \leftarrow \text { SP, FP- } 4^{*} n \end{aligned}$ |
| :---: | :---: |
| Loc. var $_{n}$ |  |
| ... |  |
| Loc. var $_{1}$ | $\leftarrow \mathrm{FP}$ |
| Dynamic link | $\leftarrow \mathrm{FP}$ |
| Return address | $\leftarrow \mathrm{FP}+4$ |
| Static link | $\leftarrow \mathrm{FP}+8$ |
| Param $_{n}$ | $\leftarrow \mathrm{FP}+12$ |
| $\ldots$ |  |
| Param ${ }_{1}$ | $\leftarrow \mathrm{FP}+8+4^{*} \mathrm{n}$ |

## Code generation for a procedure declaration

GCProc: $D_{P} \rightarrow$ Code*
GCStm (dp) computes the code c corresponding to procedure declaration dp.

| GCProc (proc $\mathrm{p}\left(F P_{L}\right)$ is send $)=$ | Let |  |
| :--- | :--- | :--- | :--- |
|  |  | $\mathrm{C}=\operatorname{GCStm}(\mathrm{s})$ |
|  | in | $\operatorname{Prologue(0)\\| }$ |
|  |  | $\mathrm{C} \\|$ |
|  |  | Epilogue |


| GCProc (proc $\mathrm{p}\left(F P_{L}\right)$ is begin $\mathrm{dv} ; \mathrm{dp} ; \mathrm{s}$ end $)=$ Let | size $=$ SizeDecl(dv), |
| ---: | :--- |
|  | $\mathrm{C}=$ GCStm(s) |
| in $\quad$ Prologue(size) $\\|$ |  |
|  | $\mathrm{C} \\|$ |
|  | Epilogue |

Rk: this function is applied to each procedure declaration

## Prologue \& Epilogue

Prologue (size):

| push (FP) | ! dynamic link |
| :--- | :--- |
| ADD FP, SP, 0 | ! FP $:=$ SP |
| ADD SP, SP, -size | ! loc. variables allocation |

Epilogue:

```
ADD SP, FP, 0
LD FP, [SP]
ADD SP, SP, +4
RET
! SP := FP, loc. var. de-allocation
! restore FP
! return to caller
```

RET:
LD PC, [SP] // ADD SP, SP, +4

## Code Generation for a procedure call

## Four steps:

1. evaluate and push each effective parameter
2. push the static link of the callee
3. push the return address and branch to the callee
4. de-allocate the parameter zone
```
GCStm (call p (ep)) = Let (C, size) = GCParam(ep)
in
C |
Push (StaticLink(p)) |
CALL p|
ADD SP, SP, size+4
```

CALL p:
ADD R1, PC, +4 // Push (R1) // BA p

## Parameters evaluation

GCParam: $E P_{L} \rightarrow$ Code* $^{*} \times \mathbf{N}$
$\operatorname{GCStm}(\mathrm{ep})=(\mathrm{c}, \mathrm{n})$ where c is the code to evaluate and "push" each effective parameter of ep and n is the size of pushed data.


## Static link and non local variable access ?

- A global (unique) name is given to each identifier:

```
proc Main is
    proc P1 (...) is
                proc Pn (...) is
                begin
                    var x ...
        end
```



- This notation induces a partial order:

$$
\left(\text { Main. } P_{1} \cdots . P_{n} \leq \text { Main. } P_{1}^{\prime} \cdots . P_{n^{\prime}}^{\prime}\right) \Leftrightarrow\left(n \leq n^{\prime} \text { and } \forall k \leq n . P_{k}=P_{k}^{\prime}\right)
$$

- For an identifier $x=$ Main. $P_{1} \cdots . P_{n} . x$, $x^{\bullet}=$ Main. $P_{1} \cdots . P_{n}$ is the definition environment of $x$
- For any identifier $x$ (variable or procedure), procedure $P$ can access $x$ iff $x^{\bullet} \leq P$.


## Examples

- A variable $x$ declared in $P$ can be accessed from $P$ since $x^{\bullet}=P$ (hence $x^{\bullet} \leq P$ ).
- If $g$ and $x$ are declared in $f$, then $x$ can be accessed from $g$ since $x^{\bullet}=f$ and $f \leq g$.
- If $x$ and $f_{1}$ are declared in $\operatorname{Main}, f_{2}$ is declared in $f_{1}$, then $x$ can be accessed from $f_{2}$ since $x^{\bullet}=$ Main, $f_{2}=$ Main. $f_{1} . f_{2}$ $\left(x^{\bullet} \leq f_{2}\right)$
- If $p_{1}$ and $p_{2}$ are both declared in Main, $x$ is declared in $p_{1}$, then $x$ cannot be accessed from $p_{2}$, since $x^{\bullet}=$ Main. $p_{1}$ and Main. $p_{1} \not \leq$ Main. $p_{2}$


## Code Generation for accessing (non-) local identifiers

$d_{x}$ : offset of $x$ (variables or parameters) in its definition environment ( $x^{\bullet}$ )
$P$ : current procedure

| Condition | $x=$ variable or parameter | $x=$ procedure |
| :--- | :--- | :--- |
| $x^{\bullet}=P$ | $\operatorname{adr}(\mathrm{x})=\mathrm{FP}+\mathrm{d}_{x}$ | $\mathrm{SL}(\mathrm{x})=\mathrm{FP}$ |
| $x^{\bullet}<P$ | $\mathrm{n}-\mathrm{k}-1$ indirections | $\mathrm{n}-\mathrm{k}-1$ indirections |
| $x=M \cdot P_{1} \cdots P_{k}$ | $\mathrm{LD} \mathrm{R},[\mathrm{FP}+8]$ | $\mathrm{LD} \mathrm{R},[\mathrm{FP}+8]$ |
| $P=M \cdot P_{1} \cdots P_{k} \cdots P_{n}$ | $\mathrm{LD} \mathrm{R},[\mathrm{R}+8]\} \times(n-k-1)$ | $\mathrm{LD} \mathrm{R},[\mathrm{R}+8]\} \times(n-k-1)$ |
|  | $\operatorname{adr}(\mathrm{x})=\mathrm{R}+\mathrm{d}_{x}$ | $\mathrm{SL}(\mathrm{x})=\mathrm{R}$ |

Back to the 1st example

```
var z ;
proc p1 () is
    begin
        proc p2(x, y) is z := x + y ;
        z := 0 ;
        call p2(z+1, 3) ;
    end
proc p3 (x) is
    begin
        var z ;
        call p1() ; z := z+x ;
    end
call p3(42) ;
```


## Exercice:

- give the execution stack when p2 is executed
- give the code for procedures p1 and p2


## Exercice

Consider the following extensions

- functions
- other parameter modes (by reference, by result)
- dynamic binding for variables and procedures ?


## Procedures used as variables or parameters

```
var z1 ;
var p proc (int) ; /* p is a procedure variable */
proc p1 (x : int) is z1 := x ;
proc p2 (q : proc (int)) is call q(2) ;
proc q1 is
    begin
        var z1 ;
        proc q2 (y int) is z1 := x ;
        p := q2 ;
        call p ;
    end
p := p1 ;
call p ;
call p2 (p1) ;
Q: what code to produce for p := ...? for call p2(p1)? for call p ?
```

Information associated to a procedure at code level

$$
\mathrm{p}:=\mathrm{q} 2
$$

call p

To translate a procedure call, we need:

- the address of its 1st instruction
- the address of its environment definition
$\Rightarrow$ Variable p should store both information
$\Rightarrow$ At code level, a procedure type is a pair (address of code, address of memory environment)

Exercice: code produced for the previous example ?

